

# Colouring large groups and monochromatic sumsets

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# Ramsey theory: the study of unavoidable regularity

**Positive partition relations:** a large object, coloured with a small number of colours, always admits monochromatic subsets/substructures of relatively large size.

**Szemerédi 1975:** any set  $A \subset \mathbb{N}$  of positive upper density contains arbitrary long arithmetic progressions.

**Shelah 1995:** consistently, any function  $f : \mathbb{R} \rightarrow \mathbb{R}$  is continuous on a nowhere meager set.

**Negative partition relations:** the existence of a colouring without large monochromatic substructures; paradoxical decompositions.

**Brown, 1977:** there is a function  $\mathbb{R} \rightarrow \mathbb{R}$  that is discontinuous on any nowhere measure 0 set.

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Consistently, modulo some large cardinal,

if  $f : \mathbb{R} \rightarrow r$  with  $r \in \omega$  then there is an **infinite**  $X \subseteq \mathbb{R}$  so that

$f \upharpoonright X + X$  is constant.

P. Komjáth, I. Leader, P. Russell, S. Shelah,  
D. T. Soukup, Z. Vidnyánszky 2017

$X + X = \{x + y : x, y \in X\}$  i.e. **repetitions are allowed**.

- How does this fit into the theory (of partition relations)?
- Why allow repetitions and why only infinite?
- What goes into the proof of this result?

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# Evolving partition relations

... an incomplete overview ...

# Evolving partition relations

If  $f : \omega \rightarrow r$  then there is an infinite  $X \subset \omega$  with  $f \upharpoonright X$  constant.

P. H. Principle

$$\omega \rightarrow (\omega)_r^1$$



# Evolving partition relations

If  $f : [\omega]^k \rightarrow r$  then there is an infinite  $X \subset \omega$  with  $f \upharpoonright [X]^k$  constant.

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$$\omega \rightarrow (\omega)_r^1$$

F. P. Ramsey, 1930

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# Evolving partition relations

There is  $f : [2^{\aleph_0}]^2 \rightarrow 2$  so that  $f''[X]^2 = 2$  for any uncountable  $X \subset 2^{\aleph_0}$ .

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$$\omega \rightarrow (\omega)_r^1$$

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$$\omega \rightarrow (\omega)_r^k$$

W. Sierpinski, 1933

$$2^{\aleph_0} \not\rightarrow (\aleph_1)_2^2$$

# Evolving partition relations

If  $f : [\beth_{k-1}^+]^k \rightarrow r$  then  $f \upharpoonright [W]^k$  is constant for some uncountable  $W \subseteq \beth_{k-1}^+$ .

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Erdős, Rado 1956

$$\beth_{k-1}^+ \rightarrow (\omega_1)_r^k \text{ for all } r < \omega.$$

# Evolving partition relations

$FS(X) = \{x_0 + x_1 + \cdots + x_\ell : x_0 < \cdots < x_\ell \in X\}$  i.e. no repetitions.

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$$\beth_{k-1}^+ \rightarrow (\omega_1)_r^k \text{ for all } r < \omega.$$

N. Hindman, 1974

if  $f : \mathbb{N} \rightarrow r$  then there is some infinite  $X \subseteq \mathbb{N}$   
so that  $f \upharpoonright FS(X)$  is constant.

# Evolving partition relations

There is  $f : [\aleph_1]^2 \rightarrow \aleph_1$  so that  $f''[X]^2 = \aleph_1$  for any uncountable  $X \subset \aleph_1$ .

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S. Todorcevic, 1987

$$\aleph_1 \not\rightarrow [\aleph_1]_{\aleph_1}^2$$

# Evolving partition relations

If  $f : [2^{\aleph_0}]^2 \rightarrow 3$  then there is an uncountable  $X \subset 2^{\aleph_0}$  with  $|f''[X]^2| \leq 2$ .

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$$\text{Con}(2^{\aleph_0} \rightarrow [\aleph_1]_3^2)$$

# Monochromatic sumsets in $\mathbb{N}$

Easy Ramsey consequence: if  $f : \mathbb{N} \rightarrow r$  with  $r \in \omega$  then there is an infinite  $X \subseteq \mathbb{N}$  so that

$f \upharpoonright X \oplus X$  is constant.

Here  $X \oplus X = \{x + y : x \neq y \in X\}$  i.e. **repetitions are not allowed**.

**Proof:**

- if  $f : \mathbb{N} \rightarrow r$  then let  $g : [\mathbb{N}]^2 \rightarrow r$  defined by  $g(x, y) = f(x + y)$ ,
- if  $X \subset \mathbb{N}$  and  $g \upharpoonright [X]^2$  is constant then  $f \upharpoonright X \oplus X$  is constant too.

[Owings, Hindman 1970s] What happens if we **allow repetition**?

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# Monochromatic sumsets in $\mathbb{N}$ - with repetitions?

$$X + X = X \oplus X \cup \{2x : x \in X\}.$$

There is  $f : \mathbb{N} \rightarrow 4$  without infinite monochromatic sumsets:

$$f(x) = \lfloor \log_{\sqrt{2}}(x) \rfloor \bmod 4.$$

- Suppose that  $X \subseteq \mathbb{N}$  is infinite and take  $y \ll x \in X$ .
- $|\log_{\sqrt{2}}(x) - \log_{\sqrt{2}}(x + y)| < 1$ ,
- $|f(x) - f(x + y)| \leq 1 \bmod 4$ .
- $f(2x) = \lfloor \log_{\sqrt{2}}(x) + 2 \rfloor = f(x) + 2 \bmod 4$  so  $f(2x) \neq f(x + y)$ .

Can we do this with 2 colours???

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# Monochromatic sumsets in $\mathbb{R}$

Started in [Hindman, Leader, Strauss 2015]

If  $f : \mathbb{R} \rightarrow r$  is Baire/Lebesgue measurable then there is a perfect  $\emptyset \neq X \subseteq \mathbb{R}$  so that

$f \upharpoonright X + X$  is constant.

Without definability?

There is an  $f : \mathbb{R} \rightarrow 2$  so that

$f''X \oplus X = 2$  for every uncountable  $X \subset \mathbb{R}$ .

- [HLS] using CH, [Komjáth, DTS, Weiss] in ZFC, and consistently the number of colours is best possible.

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If  $f : \mathbb{R} \rightarrow r$  is **Baire/Lebesgue measurable** then there is a **perfect**  $\emptyset \neq X \subseteq \mathbb{R}$  so that

$f \upharpoonright X + X$  is constant.

Without definability?

There is an  $f : \mathbb{R} \rightarrow 2$  so that

$f''X \oplus X = 2$  for every uncountable  $X \subset \mathbb{R}$ .

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Continued by [Fernandez-Breton, Rinot 2016]:

There is a colouring  $f : \mathbb{R} \rightarrow \omega$  so that

$$f''X \oplus X = \omega \text{ for every } X \subset \mathbb{R} \text{ of size } \mathfrak{c}.$$

For any uncountable, commutative, cancellative semigroup  $G$  there is a colouring  $f : G \rightarrow \omega$  so that

$$f''FS(X) = \omega \text{ for every uncountable } X \subset G.$$

Bottom line: without definability, infinite sumsets are best possible on  $\mathbb{R}$  with repetition allowed.

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Any sign of positive relations? Modulo **some large cardinals**, consistently

For any  $f : \mathbb{R} \rightarrow \omega_1$  there is an uncountable subgroup  $H \leq \mathbb{R}$  so that

$f \upharpoonright H$  has at most  $\aleph_0$  colours.

For any  $f : \mathbb{R} \rightarrow 3$  there is an uncountable  $X \subset \mathbb{R}$  so that

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Let  $G(\kappa) = \bigoplus_{\kappa} \mathbb{Q}$  i.e.  $x : \kappa \rightarrow \mathbb{Q}$  with  $|supp(x)| < \omega$ . E.g.  $G(2^{\aleph_0}) \approx \mathbb{R}$ .

Given  $s \in \mathbb{Q}^{<\omega}$  and  $a \in [\kappa]^{|s|}$ , let

$$x = s * a \in \bigoplus_{\kappa} \mathbb{Q}$$

by  $supp(x) = a$  and  $x(a(i)) = s(i)$ .

If  $supp(x) = a$  and  $supp(y) = b$  then

$$a \Delta b \subseteq supp(x + y) \subseteq a \cup b.$$

Suppose that  $c : \bigoplus_{\kappa} \mathbb{Q} \rightarrow 2$ , and let  $c_s : [\kappa]^{|s|} \rightarrow 2$  by

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- let  $\kappa$  be an  $\omega_1$ -Erdős: for any  $d : [\kappa]^{<\omega} \rightarrow \theta$ , there is an uncountable  $X \subset \kappa$  so that  $d \upharpoonright [X]^n$  is constant for any  $n < \omega$ .
- $\mathbb{P}$ : adds  $\kappa$  many Cohen-reals, so  $V^{\mathbb{P}} \models \mathbb{R} \approx G(\aleph_1)$ .
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$$d(a) = \{\delta < \omega_1 : \exists s \in \mathbb{Q}^{[a]} \exists p \in \mathbb{P} \ p \Vdash \dot{c}(s * a) = \delta\}.$$

- this is a countable subset of  $\omega_1$  by ccc,
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# Monochromatic sumsets - with repetitions

Recall:  $\exists f : \mathbb{N} \rightarrow 4$  so that  $f \upharpoonright X + X$  is **not constant** for an infinite  $X \subset \mathbb{N}$ .

Let  $G(\kappa) = \bigoplus_{\kappa} \mathbb{Q}$  i.e.  $x : \kappa \rightarrow \mathbb{Q}$  with  $|\text{supp}(x)| < \omega$ . E.g.  $G(2^{\aleph_0}) \approx \mathbb{R}$ .



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# Monochromatic sumsets - with repetitions

If  $f : G(\kappa) \rightarrow r$  then  $f \upharpoonright X + X$  is constant for some infinite  $X \subset G(\kappa)$ .

Let  $G(\kappa) = \bigoplus_{\kappa} \mathbb{Q}$  i.e.  $x : \kappa \rightarrow \mathbb{Q}$  with  $|\text{supp}(x)| < \omega$ . E.g.  $G(2^{\aleph_0}) \approx \mathbb{R}$ .  
Notation:

$$G(\kappa) \xrightarrow{+} (\aleph_0)_r$$

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$\exists f : G(\kappa) \rightarrow r$  so that  $f \upharpoonright X + X$  is **not constant** for an infinite  $X \subset G(\kappa)$ .

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Notation:

$$G(\kappa) \not\overset{+}{\rightarrow} (\aleph_0)_r \text{ e.g. } \mathbb{N} \not\overset{+}{\rightarrow} (\aleph_0)_4$$

# Monochromatic sumsets - with repetitions

$\exists f : G(\kappa) \rightarrow r$  so that  $f \upharpoonright X + X$  is **not constant** for an infinite  $X \subset G(\kappa)$ .

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[Hindman, Leader, Strauss]

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# Monochromatic sumsets - with repetitions

$\exists f : \mathbb{Q} \rightarrow 72$  so that  $f \upharpoonright X + X$  is **not constant** for an infinite  $X \subset \mathbb{Q}$ .

Let  $G(\kappa) = \bigoplus_{\kappa} \mathbb{Q}$  i.e.  $x : \kappa \rightarrow \mathbb{Q}$  with  $|\text{supp}(x)| < \omega$ . E.g.  $G(2^{\aleph_0}) \approx \mathbb{R}$ .

[Hindman, Leader, Strauss]

- $\mathbb{Q} \not\overset{+}{\rightarrow} (\aleph_0)_{72}$ .



# Monochromatic sumsets - with repetitions

$\exists f : G(m) \rightarrow \mathbb{Z}_2$  so that  $f \upharpoonright X + X$  is **not constant** for an infinite  $X \subset G(m)$ .

Let  $G(\kappa) = \bigoplus_{\kappa} \mathbb{Q}$  i.e.  $x : \kappa \rightarrow \mathbb{Q}$  with  $|\text{supp}(x)| < \omega$ . E.g.  $G(2^{\aleph_0}) \approx \mathbb{R}$ .

[Hindman, Leader, Strauss]

- $\mathbb{Q} \not\overset{+}{\rightarrow} (\aleph_0)_{\mathbb{Z}_2}$ .
- $G(m) \not\overset{+}{\rightarrow} (\aleph_0)_{\mathbb{Z}_2}$  for  $m < \omega$ .

# Monochromatic sumsets - with repetitions

$\exists f : G(\aleph_0) \rightarrow 144$  so that  $f \upharpoonright X + X$  is **not constant** for an infinite  $X \subset G(\aleph_0)$ .

Let  $G(\kappa) = \bigoplus_{\kappa} \mathbb{Q}$  i.e.  $x : \kappa \rightarrow \mathbb{Q}$  with  $|\text{supp}(x)| < \omega$ . E.g.  $G(2^{\aleph_0}) \approx \mathbb{R}$ .

[Hindman, Leader, Strauss]

- $\mathbb{Q} \not\overset{+}{\rightarrow} (\aleph_0)_{72}$ .
- $G(m) \not\overset{+}{\rightarrow} (\aleph_0)_{72}$  for  $m < \omega$ .
- $G(\aleph_0) \not\overset{+}{\rightarrow} (\aleph_0)_{144}$

# Monochromatic sumsets - with repetitions

$\exists f : G(\aleph_m) \rightarrow 2^m \cdot 144$  so that  $f \upharpoonright X + X$  is **not constant** for an infinite  $X$ .

Let  $G(\kappa) = \bigoplus_{\kappa} \mathbb{Q}$  i.e.  $x : \kappa \rightarrow \mathbb{Q}$  with  $|\text{supp}(x)| < \omega$ . E.g.  $G(2^{\aleph_0}) \approx \mathbb{R}$ .

[Hindman, Leader, Strauss]

- $\mathbb{Q} \not\overset{+}{\rightarrow} (\aleph_0)_{72}$ .
- $G(m) \not\overset{+}{\rightarrow} (\aleph_0)_{72}$  for  $m < \omega$ .
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# Monochromatic sumsets - with repetitions

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Corollary

If  $2^{\aleph_0} < \aleph_\omega$  then

$$\mathbb{R} \not\overset{+}{\rightarrow} (\aleph_0)_r$$

for some  $r < \omega$ .

## Positive relations through 'position invariance'

Given  $s \in \mathbb{Q}^{<\omega}$  and  $a \in [\kappa]^{|s|}$ , let

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Suppose that  $c : \bigoplus_{\kappa} \mathbb{Q} \rightarrow 2$ , and let

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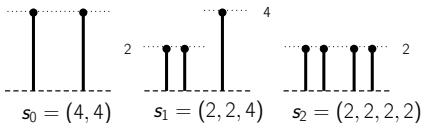
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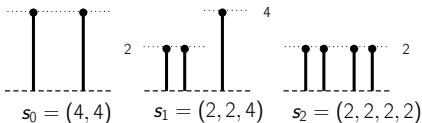
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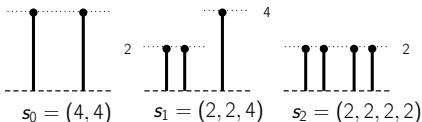
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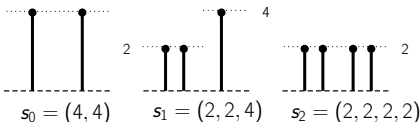
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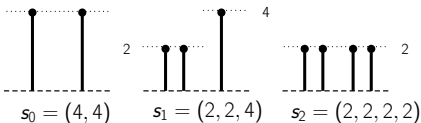
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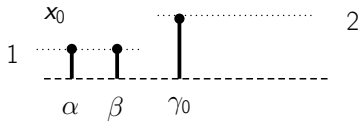
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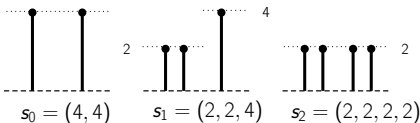
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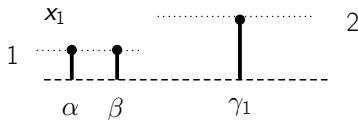
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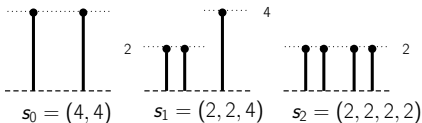
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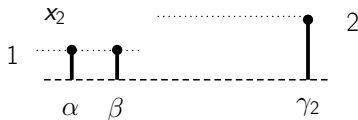
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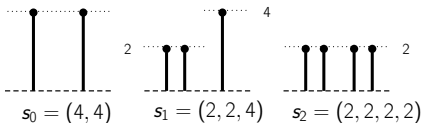
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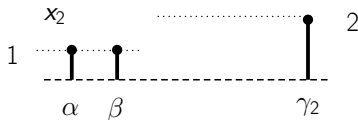
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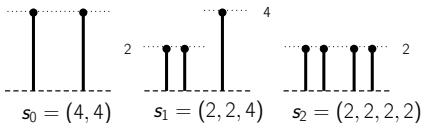
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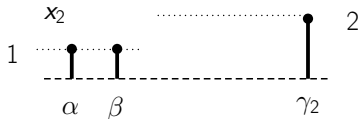
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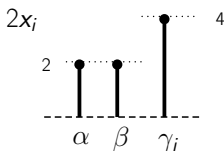
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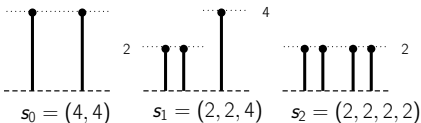
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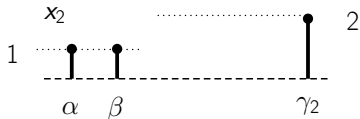
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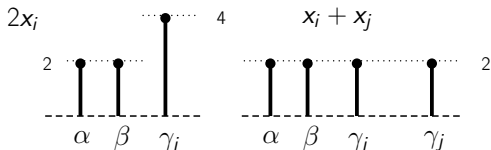
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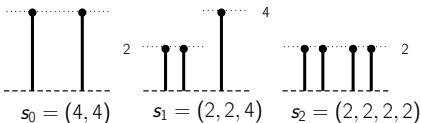
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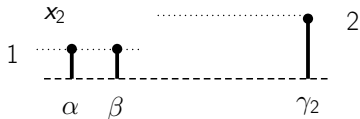
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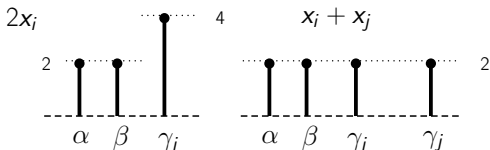
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If  $c_{s_0}, c_{s_2}$  have the same constant then we need  $tp(W) = \omega + \omega$ .

# Corollaries

[Komjáth] and [Leader, Russell] independently

$\Rightarrow G(\kappa) \overset{+}{\rightarrow} (\aleph_0)_r$  where  $\kappa = \beth_{2r-1}(\aleph_0)$ ,

$\Rightarrow G(\aleph_\omega) \overset{+}{\rightarrow} (\aleph_0)_r$  for  $r < \omega$  under GCH.

In ZFC maybe???

- using the Erdős-Rado theorem.

[DTS, Vidnyánszky]

$\Rightarrow G(\mathfrak{c}^+) \overset{+}{\rightarrow} (\aleph_0)_2$ ,

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Recall: if  $2^{\aleph_0} < \aleph_\omega$  then  $\mathbb{R} \not\stackrel{+}{\rightarrow} (\aleph_0)_r$  for some  $r < \omega$ .

Consistently, modulo an  $\omega_1$ -Erdős cardinal,

$$\mathbb{R} \stackrel{+}{\rightarrow} (\aleph_0)_r \text{ for any } r < \omega.$$

The main ingredients are

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- [S. Shelah, 2017]

"...you can suppose the coloring is continuous, right?"

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- **[S. Shelah, 1988]** Consistently, modulo an  $\omega_1$ -Erdős cardinal, if  $f : [2^{\aleph_0}]^{<\omega} \rightarrow r$  then there is an uncountable  $X$  and  $F : X \hookrightarrow 2^\omega$  so that  **$f(\bar{x})$  only depends on the type of the finite tree  $F[\bar{x}]$ .**



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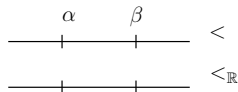
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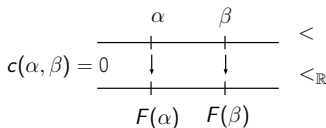
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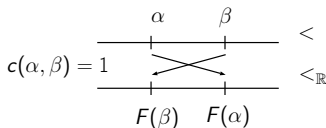
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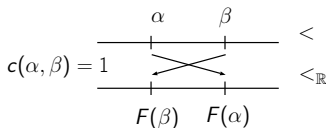
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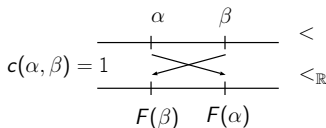
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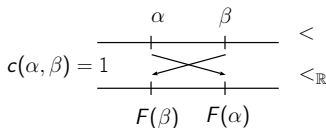
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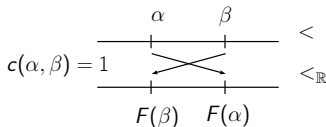
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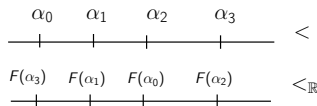
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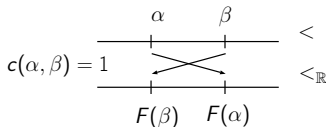
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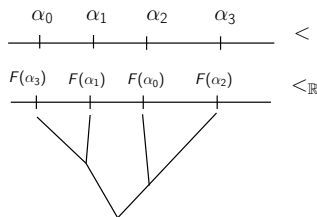
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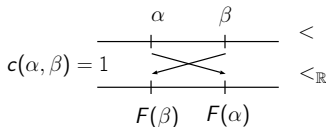
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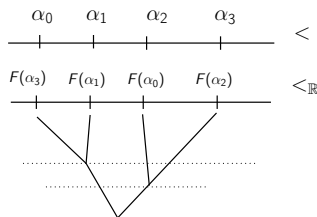
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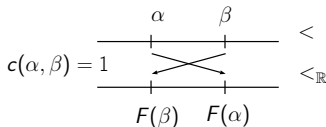
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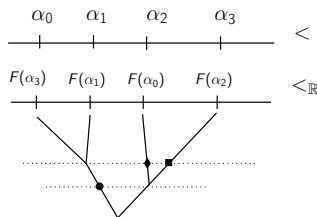
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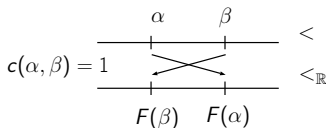
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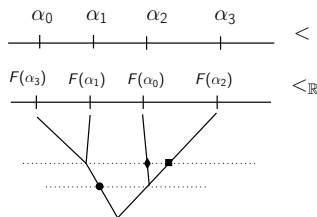
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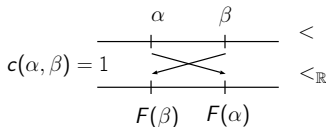
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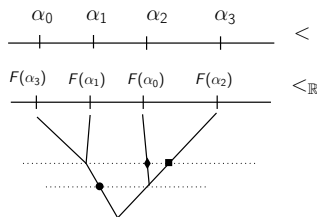
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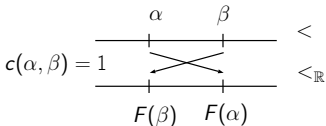
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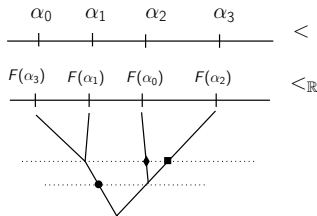
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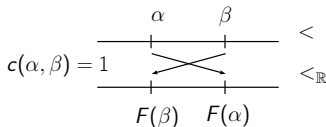


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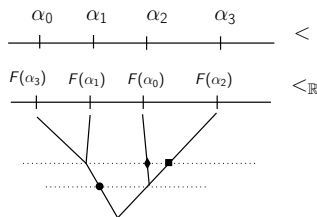
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# Shelah's 'Was Sierpinski right?' papers

We cannot realize more colours:

## [Shelah, WSR I]

Consistently, modulo an  $\omega_1$ -Erdős cardinal, **for any  $c : [2^{\aleph_0}]^2 \rightarrow r$  there is an uncountable  $W \subseteq 2^{\aleph_0}$  with at most 2 colours.**

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Larger tuples can define more colours... What was so specific about the colourings before?

if  $c : [2^{\aleph_0}]^k \rightarrow r$  and  $F : 2^{\aleph_0} \hookrightarrow \mathbb{R} \simeq 2^\omega$

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**Polarized partition relation in this model:**  $\text{MA}_{\aleph_1}(\text{Knaster}) \Rightarrow$  if  $g : [\omega]^2 \times \omega_1 \rightarrow 2$  then there is  $A \in [\omega]^\omega, B \in [\omega_1]^{\omega_1}$  so that  $g \upharpoonright [A]^2 \times B$  is constant.

## [Shelah, WSR II]

Suppose that  $\lambda$  is an  $\omega_1$ -Erdős cardinal in  $V$ .

Then there is a forcing notion  $\mathbb{P}$  so that  $V^{\mathbb{P}}$  satisfies the following:

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## Proving $G(2^{\aleph_0}) \xrightarrow{+} (\aleph_0)_2$ in the 'WSR II' model

Take  $c : G(2^{\aleph_0}) \rightarrow 2$  and consider  $c_{s_0}, c_{s_1}, c_{s_2}$  with  $s_0 = (4, 4), s_1 = (2, 2, 4), s_2 = (2, 2, 2, 2)$ .

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Select  $|A| = \aleph_0, |B| = \aleph_1$  from  $W$  so that  $A < B$  and  $F''A <_{\mathbb{R}} F''B$ .

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How can we fix the type of triples

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Let  $g : A^2 \times B \rightarrow 2$  by

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with  $m = \Delta(F(\alpha), F(\alpha'))$ . Shrink using the polarized relation to fix the type!

$\Rightarrow c_{s_1}$  is constant too on these triples.

Finally, look at 4-tuples

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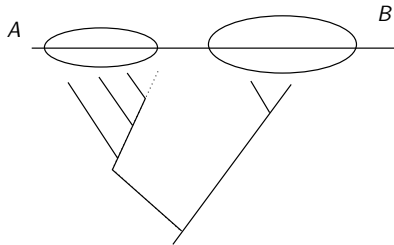
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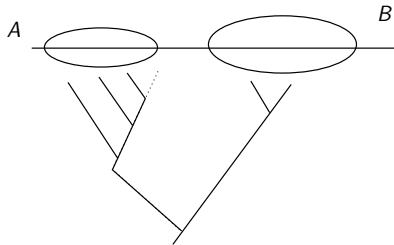


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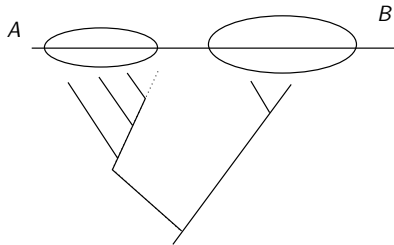
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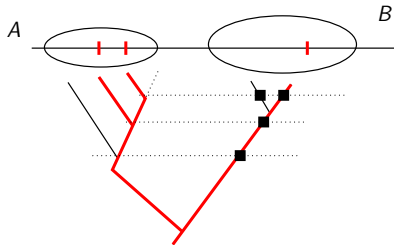
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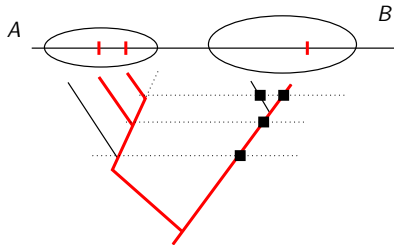
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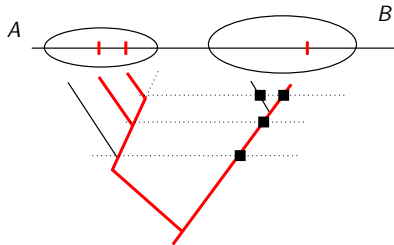
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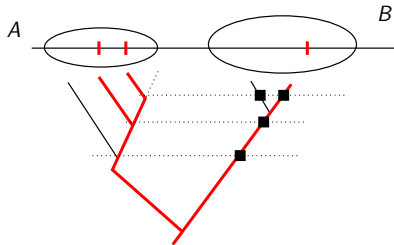
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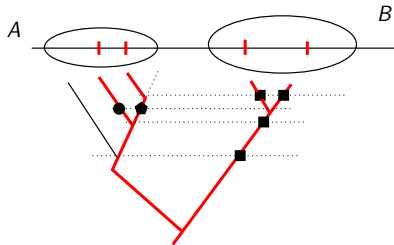
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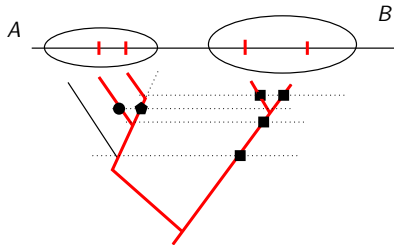
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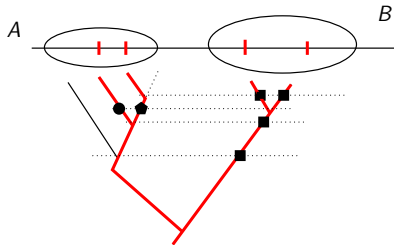


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$\Rightarrow$  all pairs  $(\alpha, \beta) \in A \times B$  have the same  $\sim$ -type, so  $c_{S_0}$  is constant.

How can we fix the type of triples  
 $(\alpha, \alpha', \beta) \in A^2 \times B$ ?

Let  $g : A^2 \times B \rightarrow 2$  by

$$g(\alpha, \alpha', \beta) = F(\beta)(m)$$

with  $m = \Delta(F(\alpha), F(\alpha'))$ . Shrink using the polarized relation to fix the type!

$\Rightarrow c_{S_1}$  is constant too on these triples.

Finally, look at 4-tuples

$$(\alpha, \alpha', \beta, \beta') \in A^2 \times B^2.$$

Look at splitting levels from  $B$ , read values on branches from  $A$ , thin both to fix the values.

This fixes the type of these 4-tuples too on some countable  $A, B$ .

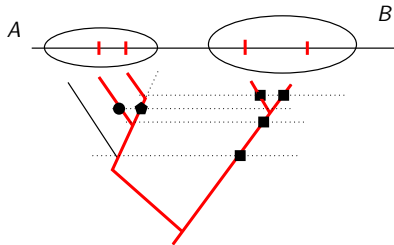
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## Proving $G(2^{\aleph_0}) \xrightarrow{+} (\aleph_0)_2$ in the 'WSR II' model

Take  $c : G(2^{\aleph_0}) \rightarrow 2$  and consider  $c_{s_0}, c_{s_1}, c_{s_2}$  with  $s_0 = (4, 4), s_1 = (2, 2, 4), s_2 = (2, 2, 2, 2)$ .

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Now, **two constant values must agree** of the three; repeat the first trick to construct infinite  $X$  so that  $X + X$  is monochromatic.

# Various open problems

## [Owings, 1974]

- $\mathbb{N} \not\rightarrow^+ (\aleph_0)_2$  ???

Connected to our results:

- $\mathbb{R} \rightarrow^+ (\aleph_0)_2$  in ZFC??
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